

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----x  
In re :  
LEHMAN BROTHERS HOLDINGS INC., *et al.*, : Chapter 11 Case No.  
Debtors. : 08-13555 (JMP)  
: (Jointly Administered)  
-----x Ref. Docket No. 42176

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NEW YORK )

PETE CARIS, being duly sworn, deposes and says:

1. I am employed as Noticing Supervisor by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On January 27, 2014, I caused to be served the “Stipulation & Order Establishing Procedures for an Evidentiary Hearing in Connection with Omnibus Objections to Reclassify Proofs of Claim as Equity Interests,” dated January 24, 2014 [Docket No. 42176], by causing true and correct copies to be:
  - i. delivered via electronic mail to those parties listed on the annexed Exhibit A, and
  - ii. enclosed securely in separate postage pre-paid envelopes and delivered via overnight mail to those parties listed on the annexed Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

Sworn to before me this  
28<sup>th</sup> day of January, 2014

/s/ Cassandra Murray

Notary Public, State of New York

No. 01MU6220179

Qualified in Queens County

Commission Expires April 12, 2014

/s/Pete Caris

Pete Caris

## **EXHIBIT A**

Creditor Name Per POC	Attorney	Email for Service
ABRAMS, STANLEY		stan.abrams@ubs.com
ADLER, JENNIFER S.	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
ALI, ASGAR		asgaraali@gmail.com
ALLARD, ANDREW		angallard@aol.com
ANDERSON, IAN W.		ian.anderson@btinternet.com
ANDRIOLA, ROCCO F.		knash@gwfglaw.com
ANTONCIC, MADELYN	Lisa Solomon	lisa.solomon@att.net
BANCHETTI, RICCARDO	Lisa Solomon	lisa.solomon@att.net
BARDEHLE, CHRISTIAN		c.bardehle@me.com; c.bardehle@web.de
BAZYLEVSKY, BO		bobaz@mac.com
BECKER, JENNIFER		jennifer.becker@barclayscapital.com
BENSON, CRAIG O.	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
BIRASCHI, PAOLA	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
BIRD, RICHARD		4thbird@googlemail.com
BOGERT, LISA R		lrbogey@earthlink.net; lbogert@nb.com
BOUGHRUM, DONALD J.	Bob Michaelson	rmichaelson@r3mlaw.com
BOWYER, MICHAEL L.		ml.bowyer@gmail.com ambowyer@hotmail.com
BRANCATO, JENNIFER		jennifer.brancato@gmail.com
BREWER, KAREN H	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
BROADBENT, WILLIAM S.	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
BROOKS, DAVID J	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
BURKE, TIMOTHY A.	Lisa Solomon	lisa.solomon@att.net
BUTLER-MCLAUGHLIN, CECELIA E.		cebmac1@msn.com
CALLIES, GUILLEMETTE		guillemettecallies@orange.fr
CARANGO, ANTHONY T.		acarango@mba2003.hbs.edu; acarango@gmail.com acarango@mba2003.hbs.edu
CASSANOVA, CATRINA		catrina.cassanova@nb.com
CASUPLE, VIRGILIO		vers771@gmail.com vacasuple@yahoo.com
CATALAO MAIA, ALEXANDRE		alex.maia@yahoo.com
CHAN, SHIRLEY		shirley.chan@nomura.co
CHEN, KENG-CHONG		kchen328@gmail.com
COHEN, DARIAN J.		JamesBoyajian@gmail.com
COHEN, GUSTAVO SEBASTIAN		gustavo.cohen@ba053.com gusicochen@hotmail.com
COLLIER, MICHAEL	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
COLTON, DAVID		dscolton@yahoo.com

CRASTON, EDMUND ANTHONY		ecraston@yahoo.co.uk
CREMIN, PATRICK G.	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
D'AMADEO, JOSEPH G	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
DAS, NACHIKETA		nachidas@mac.com
DAY, GEMMA		bekhols@aol.com
DE JESUS, NESTOR E	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
DESFORGES, MATHIEU		mathieu.desforbes@yahoo.com mathieu.desforbes@ibia-eu.com
DICCIANNI, CHARLES		cdiccianni@hvc.rr.com
DICENSO, JOSEPH		jdicenso@gmail.com
DMUCHOWSKI, JOHN J	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
DUFFY, KATHLEEN C.		kcduffy539@nyc.rr.com
DUFOURNIER, PHILIPPE	Lisa Solomon	lisa.solomon@att.net
DYER, ROBERT C.		robert.dyer@ml.com
ENGEL, STEVEN	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
ERTMANN, JAMES		james.ertmann@barclays.com james.ertmann@barclayswealth.com
ESCOBAR, CALIXTO M. JR.		cescobar@barcap.com
FALLTRICK, BARRY J		garryjalltrick@aol.com
FELDERHOF, STEPHEN		steve.felderhof@hushmail.com
FINKEL, SETH J.	Eugene Neal Kaplan	michaels@jstriallaw.com; michellep@jstriallaw.com; ENKaplan@kaplanlandau.com
FLEISCHMAN RICHMAN, SANDY	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
FLYNN, PATRICK		patrick.flynn@nb.com
FRASER-JENKINS, INIGO L		inigo.fraser-jenkins@nomura.com
GATTUSO, MARGARET E.		mgattuso@nb.com
GLASEBROOK, RICHARD J., II	Eugene Neal Kaplan	michaels@jstriallaw.com; michellep@jstriallaw.com; ENKaplan@kaplanlandau.com
GOLDBERG, JASON		jason.goldberg@barclayscapital.com
GOLDBERG, LOUISE	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
GOLDMAN, SHERYL		goldsultan2@wideopenwest.com
GOYAL, ANSHU	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
GRAN, MICHAEL	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
GRAVES, ADRIAN T	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
GROSS, BRIAN M.		grossbme@yahoo.com
HAHN-COLBERT, SANDRA M	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com

HALL, ROOPALI A.		roopali.hall@gmail.com
HARGRAVE, BRIAN		bkhargrave@gmail.com
HASHIDA, AKIKO		min616@mac.com
HOAR, NICK JOHN		nick.hoar.nb.com
HOM, MICHAEL C.		mikehom@yahoo.com
HORNICK, PETER	Lisa Solomon	lisa.solomon@att.net
HOWARD, NICHOLAS P.	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
HUTTON, RANDALL J.		rhutton@libertyview.com
IRAGORRI, JULIAN	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
IYER, SUBRAMANIAM		subramaniam.iyer@nomura.com
JACOBSON, LARS P.		Lars.Jacobson@jpmorgan.com; larsjaco16@gmail.com
JAO, ANDREA T.		Andrea.Jao@gmail.com
JENCKES, GEORGE A., IV		george.jenckes@ustrust.com
JUDD, IAN		i.judd@ntlworld.com
KENNEY, ARTHUR J.		olimpiamilano18@gmail.com; arthur.kenney@barclayscapital.com
KENNEY, JUDITH ANN	Eugene Neal Kaplan	michaels@jstriallaw.com; michellep@jstriallaw.com; ENKaplan@kaplanlandau.com
KETTLER, R. KYLE		kylekettler@comcast.net
KILGALLON,JOHNINE		kilgallon@nyc.rr.com
KING, HARRIET CHAN	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
KOTIAN, SURAJ		kotiansuraj@yahoo.com suraj.kotian@nomura.com
KRIEGER, KAREN M. SIMON		kmskrieger@gmail.com; karenmsk@aol.com
LANGEVIN, MARY		ml10463@gmail.com; ml10463@gmail.com langevinsow@nyc.rr.com
LANKEN, JONATHAN P		jonathan.lanken@gmail.com
LATIFA, DEBBARH		latifa.debbarh@btinternet.com latifa.debbarh@ibia-eu.com
LAWRENCE III, HENRY MORGAN	Bob Michaelson	rmichaelson@r3mlaw.com
LAWRENCE, NICOLE S.	Bob Michaelson	rmichaelson@r3mlaw.com
LAWSKY, MICHAEL		mhlawsy@gmail.com
LEGOTTE, LEONARD J.		dblxpresso@msn.com
LENG, MANHUA		manhua_leng@yahoo.com
LEUNG, PAMELA		pam.leung@gmail.com
LEV ARI, TAL	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
LEVILEV, YERUCHIM	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com

LEVINE, RICHARD S.	Eugene Neal Kaplan	michaels@jstriallaw.com; michellep@jstriallaw.com; ENKaplan@kaplanlandau.com
LEVY, STEWART F.		stewart.levy@barcap.com
LEWIS, SARAH J	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
LIOTTI, FABIO	Daniel Carragher	djcarragher@daypitney.com
LISTER, JAMES G.		mgrenert@liddlerobinson.com
LOWE, TIA GELAINE		tia.lowe@nb.com
LUKEN, PATRICIA M.	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
LYNCH, MARY A.		mlynch501@gmail.com
MALCOLM, ANDREW		andrew.malcolmuk@gmail.com andrew.malcolm@nomura.com
MARNEY, LESLEY N		lesley.marney@btinternet.com
MARSHALL, NIKKI A.		lisa.solomon@att.net
MCCARTHY, LAWRENCE E	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
MCCOOEY, KEVIN C.		kevin.mccooey@barclayswealth.com
MCCULLY, MICHAEL K.	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
MCGEE, HUGH	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
MENZIES, FIONA M.		fmenzies@talktalk.net
MISCHLER, VINCENT		vincent.mischler@yahoo.com
MONAHAN, BRIAN W.	Bob Michaelson	rmichaelson@r3mlaw.com
MUINOS, BARBARA		3bbbs@optonline.net
MURPHY, PATRICIA		pakm@optonline.et
NACKENSON, RICHARD	Eugene Neal Kaplan	michaels@jstriallaw.com; michellep@jstriallaw.com; ENKaplan@kaplanlandau.com
NEDEV, BORIS		boris.nedev@gmail.com
NETZEL, GAVIN R		gnetzel@hotmail.com
NEVILLE, IAN A	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
NOBLE, RICHARD GRANT		richard.noble@kpmg.co.uk
NORTH-CLAUSS, BRYAN		bryan.northclaus@hotmail.com
OLIVIER, HELMUT	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
O'MARA, MICHAEL J.		om507@aol.com
O'SULLIVAN, THOMAS J.	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
PARR, ANKE	Lisa Solomon	lisa.solomon@att.net
PATANKAR, SAMEER		patankarsameer@rediff.com sameer.patankar@nomura.com
PATTERSON, MARTIN	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
PENTON, ANDREA M		andreapenton@btinternet.com

PETRUCELLI, MICHAEL J.	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
POCHINAPEDDI, VENKATA		pvrk_6@yahoo.com
POPE, SAMANTHA J.		sammalthouse@yahoo.co.uk
PORTER, BARRY	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
PRIMIANO, VINCENT A.	Lisa Solomon	lisa.solomon@att.net
PULJIC, GORAN		goranp@optonline.net
RADICK, JONATHAN		aer63@verizon.net
RAMALLO, HENRY	Eugene Neal Kaplan	michaels@jstriallaw.com; michellep@jstriallaw.com; ENKaplan@kaplanlandau.com
REINER, BRETT S.		breiner@nb.com
REYCROFT, EMILY M.		emilyreycroft@yahoo.com
REYNOLDS, CHRISTIAN F.	Eugene Neal Kaplan	michaels@jstriallaw.com; michellep@jstriallaw.com; ENKaplan@kaplanlandau.com
RIVKIN, JACK L.	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
RONCAGLIOLO, JACQUELINE S.		jroncagl@optonline.net
SAKS, ROGER	Edward Magaliff	HMagaliff@R3MLaw.Com
SANTODOMINGO MARTELL, ALVARO	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
SARODE, SUNIL		sunil.sarode1981@gmail.com sunil.sarode@nomura.com
SARONNE, GIANCARLO	Lisa Solomon	lisa.solomon@att.net
SAVILLO, JOSEPHINE M.		jsavillo@verizon.net
SCHUSTER, CHRISTIANE		chris.schuster@bopenworld.com
SCHWAB, STEVEN B		Steven.Schwab@opco.com; sschwabs5@mgn.com
SCHWARTZ, MARVIN C	Eugene Neal Kaplan	michaels@jstriallaw.com; michellep@jstriallaw.com; ENKaplan@kaplanlandau.com
SEBIRI, JONATHAN	Lisa Solomon	lisa.solomon@att.net
SEWARD, BRIAN	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
SHAH, HARSH	Lisa Solomon	lisa.solomon@att.net
SHAPIRO, ROSS B.	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
SHINDE, SUDARSHAN SUBHASH		shindesudarshan@gmail.com sudarshan.shinde@nomura.com
SHOTTON, PAUL NIGEL		paulshotton@optonline.net paul.shotton@ubs.com
SIEGEL, NORMAN		norman.siegel@barclays.com norman.siegel@barclayswealth.com
SINGH, ANIL KUMAR		anil.singh@btconnect.com
SMITH, MARGARET E	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com

SNELLING, STEPHEN J	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
SOMMA, GREGG		greggsomma23@comcast.com
SPERO, CHARLES	Lisa Solomon	lisa.solomon@att.net
STEVENS, CHRISTIAN E.		mforsythe@goeforlaw.com
STIEFEL, STEPHANIE J	Eugene Neal Kaplan	michaels@jstriallaw.com; michellep@jstriallaw.com; ENKaplan@kaplanlandau.com
SULLIVAN, ANDREA	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
SURI, TARVINDER SINGH		tarvinder.suri@nomura.com
SWEELY, GORDON	Lisa Solomon	lisa.solomon@att.net
TESCHNER, STEFAN		stefan.teschner@web.de
TUIL, LAURENT		laurent_tuil@hotmail.com
TURNBULL, NIGINA		niginaturnbull@barcap.com
VALECCE, JOHN		avalecce@aol.com jvalecce@aol.com
VELEBA, MILAN	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
VOLINI, PIERLUIGI	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
WAIRKAR, DINESH VISHWANATH		dwairkar@gmail.com dinesh.wairkar@nomura.com
WALKER, MATTHEW		matthew.walker@nomura.com
WARD, PETER	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
WECKER, JEFFREY	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
WEINER, DAVID I.	Eugene Neal Kaplan	michaels@jstriallaw.com; michellep@jstriallaw.com; ENKaplan@kaplanlandau.com
WEINREICH, RUTH		ruthiewein@yahoo.com
WHAMOND, CHRISTIAN		chriswhamond@optonline.net
WIDEMAN, ANDREW		mforsythe@goeforlaw.com
WILKINSON, TIMOTHY B	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
WILSON, KELLY WEINBERGER		kelly.wilson@bnymellon.com
WILSON, ROBERT W.		mr.mrswilson@hotmail.com
WINCHESTER, JUDITH A.	Andrew R. Goldenberg*	schager@ssnyc.com; Goldenberg@ssnyc.com
WREN, ALEX H		alexwren@hotmail.com



robert\_dyer@ml.com

barryfalltrick@aol.com

nick.hoar@nb.com

pakm@optonline.net

bryan\_northclauss@hotmail.com

greggsomma23@comcast.net

## **EXHIBIT B**

Claim Name	Address Information
BAREGGI, MICHELE	33 DONERAILE STREET LONDON SW6 6EW UNITED KINGDOM
BECKER, JENNIFER	611 BUCHANAN BLVD RED BANK NJ 07701
CASSANOVA, CATRINA	605 THIRD AVENUE, 22ND FLOOR NEW YORK NY 10158
CINTRON, JACQUELINE	84-01 MAIN ST APT 406 JAMAICA NY 11435
DELLARUSSO, RICHARD J.	8 SUNSET ROAD DARIEN CT 06820
DUNKIN, ROBEN L	107 CLARK STREET GLEN RIDGE NJ 07028
ESCOBAR, CALIXTO M. JR.	84 SHERIDAN AVE. STATEN ISLAND NY 10305
FLACKMAN, CYNTHIA	105 PINE BROOK ROAD MONTVILLE NJ 07045
FRYER, DANIEL J.	3 RIDGEWAY GARDENS ESSEX WESTCLIFF ON SEA SS08PZ UNITED KINGDOM
HAWES, GREGG	1911 RUXTON ROAD RUXTON MD 21204
HOOPER, HELEN	1722 EAST 51ST STREET BROOKLYN NY 11234
JOHNSON, BRIAN A.	888 TOWER ROAD WINNETKA IL 60093
KELLARD, CRAIG J	8 JESMOND ROAD ESSEX CANVEY ISLAND SS8 0AH UNITED KINGDOM
KILGALLON, JOHNNIE	21 TENNYSON DR NANUET NY 10954-1116
LEE, MAN YANG	306 GOLD ST APT 31C BROOKLYN NY 11201-3051
LEGOTTE, LEONARD J.	370 ALBOURNE AVE. STATEN ISLAND NY 10309
MCLAUGHLIN, MARY DEIRDRE	84 BASEVI WAY GREENWICH LONDON SE8 3JS UNITED KINGDOM
MEARS, RUSSELL	44 HOWARD ROAD UPMINSTER ESSEX, ESSEX RM14 2UF UNITED KINGDOM
MODASIA, DIPAK	801 CASCADES TOWER 4 WESTFERRY ROAD LONDON E14 8JN UNITED KINGDOM
MONTALVO, MIRIAM	1918 KIMBALL STREET BROOKLYN NY 11234
MUINOS, BARBARA	10 GIMBALL RD LTL EGG HBR NJ 08087-3670
NOBLE, RICHARD GRANT	THE FIELD HOUSE, TYRRELLS WOOD LEATHERHEAD SURREY KT22 8QJ UNITED KINGDOM
SARKAR, AMIT K	78 LANSDOWNE RD NOTTINGHILL LONDON W112LS UNITED KINGDOM
SHUM, DANIEL T.	11763 E CHARTER OAK DRIVE SCOTTSDALE AZ 85259
SIBIRSKI, LINDA J.	2165 BRIGHAM STREET APT 6E BROOKLYN NY 11229
STEFFENS, EDWARD M.	77 MOTLEY STREET MALVERNE NY 11565
TOAL, IAN G	20 PAIGNTON CLOSE ESSEX RAYLEIGH SS69PW UNITED KINGDOM
TRAVERSA, ROBERT	85 RIVER STREET SLEEPY HOLLOW NY 10591-2415
TURNBULL, NIGINA	70 W 93RD ST APT 28G NEW YORK NY 10025-0012
WANG, YULAN	95 SPRING ST MILLBURN NJ 07041-1129
WATTEVILLE, JEAN DE	42 ELSWORTHY ROAD LONDON NW3 3DL UNITED KINGDOM
WELCH, COLIN S. A.	8 LOCKWOOD AVE NEW CANAAN CT 06840

<b>Total Creditor count 32</b>
--------------------------------